



# Securities Regulation: Cases and Materials, Seventh Edition (Aspen Casebook)

*James D. Cox, Robert W. Hillman, Donald C. Langevoort*

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The national reputation of the authors, their balance of practice and doctrine, and a highly teachable structure have all made **Securities Regulation: Cases and Materials** the best-selling text in the field. Applauded for excellent coverage of the 1934 and 1935 Acts, the text remains sophisticated yet not intimidating. Modular chapters adapt to a variety of teaching styles, giving the instructor flexibility in course design. Well-written, interesting problems expose students to theory as well as the practical issues that impact investors. Problems are short for ease of use in the classroom and allow instructors to pick and choose to suit their own classes. The authors publish both an annual statutory and a case supplement.

The **Seventh Edition** pays close attention to the recently-enacted JOBS Act including the SEC's implementation rules. The new edition explores multiple impacts of the Dodd-Frank Act on the scope and content of securities regulations. An expanded description of various financial products pays particular heed to asset-backed securities and derivatives. The new meaning of "primary liability" under Rule 10b-5 after Janus Capital is introduced as are the ongoing developments in the nature and structure of securities markets (dark pools and the aftermath of the "flash crash.") Proxy regulation changes are discussed, asking the question, "Where does shareholder ballot access stand?" New note material appears on the D.C. Circuit's approach to interpreting "efficiency, competition and aggregation of capital" when considering challenges to SEC rule making. Post-Morrison developments in the extraterritorial application of law are included as well as the new strategies pursued in SEC enforcement.

## **Features:**

- national reputation of the authors
  - balance of practice and doctrine
  - experience lends highly teachable structure
- applauded for excellent coverage of the 1934 and 1935 Acts
- flexible organization for various teaching styles
- problem-oriented
  - interesting problems reveal theory as well as practical issues impacting investors
  - short problems for ease of use in the classroom
  - allows instructors to pick-and-choose to suit their own classes
- readable, straightforward writing--sophisticated but not intimidating
- annual statutory and case supplement

## **Thoroughly updated, the revised Seventh Edition presents:**

- careful consideration of the recently-enacted JOBS Act, including SEC's implementing rules
- multiple impacts of the Dodd-Frank Act on the scope and content of securities regulations
- expanded description of financial products, particularly asset-backed securities and derivatives
- coverage of the new meaning of "primary liability" under Rule 10b-5 after Janus Capital
- developments in the nature and structure of securities markets, including dark pools and the aftermath of the "flash crash"

- proxy regulation changes
- new note material on D.C. Circuit's interpretation of "efficiency, competition and aggregation of capital" when considering challenges to SEC rule-making
- Post-Morrison developments in the extraterritorial application of law
- new strategies pursued in SEC enforcement

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